

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicant: Ilana Guez, Merav Bassan, and Rachel Zamostiano

Application No.: 09/936,888

Filed: September 3, 2002

For: Prevention of Fetal Alcohol Syndrome and Neuronal Cell Death with ADNF Polypeptides

Ramot of Tel-Aviv University Ltd., a limited partnership

(Name of assignor)

(Type of assignor: e.g., independent partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment (from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 021318, Frame(s) 0212-0212, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 9.11.02
Name: Shulamit Hirsch, Ph.D.
Title: Director, Intellectual Property
Signature: _____

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Ze'ev Weinfeld, Ph.D.
CEO



ANNETTE S. PARENT COMPANY TOWNSEND AND TOWNSEND AND CREW LLP

AS/HC



115280-377100US

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ASSIGNOR:

GOZES, ILLANA

DOC DATE: 08/23/2001

ASSIGNOR:

BASSAN, MERAV

DOC DATE: 08/28/2001

ASSIGNOR:

ZAMOSTIANO, RACHEL

DOC DATE: 08/18/2001

ASSIGNEE:

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021318/0212 PAGE 2

SERIAL NUMBER: 09936888

FILING DATE: 09/03/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: PREVENTION OF FETAL ALCOHOL SYNDROME AND NEURONAL CELL DEATH WITH
ADNF POLYPEPTIDES

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NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Illana Gozes</td><td>08/23/2001</td></tr><tr><td>Merav Bassan</td><td>08/28/2001</td></tr><tr><td>Rachel Zamostiano</td><td>08/18/2001</td></tr></tbody></table>	Name	Execution Date	Illana Gozes	08/23/2001	Merav Bassan	08/28/2001	Rachel Zamostiano	08/18/2001			
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Illana Gozes	08/23/2001										
Merav Bassan	08/28/2001										
Rachel Zamostiano	08/18/2001										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>Ramot - University of Authority for Applied Research and Industrial Development Ltd.</td></tr><tr><td>Street Address:</td><td>32 H. Levanon Street</td></tr><tr><td>City:</td><td>Tel-Aviv</td></tr><tr><td>State/Country:</td><td>ISRAEL</td></tr><tr><td>Postal Code:</td><td>69975</td></tr></table>	Name:	Ramot - University of Authority for Applied Research and Industrial Development Ltd.	Street Address:	32 H. Levanon Street	City:	Tel-Aviv	State/Country:	ISRAEL	Postal Code:	69975	
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PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>09936888</td></tr></tbody></table>	Property Type	Number	Application Number:	09936888							
Property Type	Number										
Application Number:	09936888										
CORRESPONDENCE DATA											
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ATTORNEY DOCKET NUMBER:	015280-377100US
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ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, **Illana Gozes** of 14 Hamel Street, Ramat Hasharon, Israel, a citizen of Israel; **Merav Bassan** of 15A Ziporen Street, Givat Poleg, Natania, Israel, a citizen of Israel; and **Rachel Zamostiano** of 17 Yeshuron Street, Hod Hasharon, Israel, a citizen of Israel, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: PREVENTION OF FETAL ALCOHOL SYNDROME AND
NEURONAL CELL DEATH WITH ADNF POLYPEPTIDES

Date(s) of execution of Declaration:

Filing Date: September 12, 2001

Application No.: 09/936,888; and

WHEREAS, **RAMOT - University Authority For Applied Research And Industrial Development Ltd.**, of 32 H. Levanon Street, Tel-Aviv 69975, Israel, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.



Illana Gozes

8/23/01

(Date)

STATE OF Maryland)
COUNTY OF Montgomery)

On August 23, 2001, before me, Trudy C. Wekh, Notary Public (here insert name and title of the officer), personally appeared Illana Gozes, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Trudy C. Wekh
Notary Public

(Seal)

My Commission Expires
May 1, 2003

Bassan Merav
Merav Bassan

08/28/01
(Date)

STATE OF MA
COUNTY OF SUTTER

On 28 August, before me, BORSKAYA DIANA (here insert name and title of the officer), personally appeared Merav Bassan, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature 

(Seal)

DIANA G. BORSKAYA
NOTARY PUBLIC
MY COMMISSION EXPIRES DEC. 30, 2008

רחל זאמסטיאנו

Rachel Zamostiano

8/18/01

קולמן נ. אורצ'ר, עו"ד ונוטריון

הוד-השרון, רח' ישורון 9
קומה 1, דירה 2
09-7404486 פקס 09-7423340.51
רח' ישורון 9
רח' ישורון 9
מח' 1, דירה 2
09-7404486 פקס 09-74233

STATE OF ISRAEL

COUNTY OF HOD-HASHARON

c.o.

On August 18, 2001 before me, Mrs. RACHEL ZAMOSTIANO (here insert name and title of the officer), personally appeared Adv. Notary Colman Orchier personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

(Seal)



SF 1252515 v1

Signature

Colman N. Orchier

קולמן נ. אורצ'ר
עו"ד ונוטריון
COLMAN N. ORCHIER
ADVOCATE - NOTARY